

30285-46  
Bandaikk

## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/744,057	Tatsuhiko Mizukami	99787-1051

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/JP99/03827

I.A. FILING DATE	PRIORITY DATE
07/15/1999	07/17/1998

Mitchell P Brook  
LUCE, FORWARD, HAMILTON & SCRIPPS  
11988 El Camino Real  
Suite 200  
San Diego, CA 92130

RECEIVED

AUG 12 2002

LFH&amp;S/M P BROOK

CONFIRMATION NO. 2388

371 FORMALITIES LETTER



\*OC000000008568346\*

Date Mailed: 08/06/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements

DOCKET

Date 8/12/02  
Initials JB

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$270 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

Rec'd PCT/PTO 04 SEP 2002

09/744057

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$400 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$270
  - \$270 for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 305-3734

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/744,057	PCT/JP99/03827	99787-1051

FORM PCT/DO/EO/916 (371 Formalities Notice)

09/18/2002 SNAJARRO 00000046 502298 09744057

01 FC:154  
02 FC:968

130.00 OP  
280.00 OP